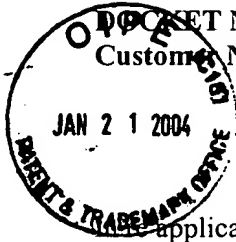


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AG/2815



DOCKET NO. 01-P-002 (STMI01-00013)
Customer No. 30425

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: : CHARLES R. SPINNER, III ET AL
Serial No. : 09/871,463
Filed : May 31, 2001
For : BARRIER FILM DEPOSITION OVER METAL FOR
REDUCTION IN METAL DISHING AFTER CMP
Group No. : 2815
Examiner : M.E. Warren

MAIL STOP APPEAL BRIEF - PATENTS

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

The undersigned hereby certifies that the following documents:

1. Postcard Receipt;
2. Information Disclosure Statement;
3. PTO/SB/08A;
4. Copy of European Search Report for European Application No. EP 02253628.8; and,
5. Six (6) references

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to: MAIL STOP APPEAL BRIEF - PATENTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 15, 2004.

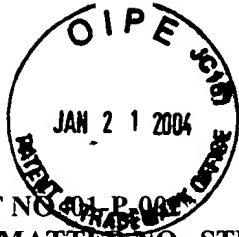
Date: 1/15/04

Kathy Cedar
Mailer

Date: 1-15-04

Daniel E. Venglarik, Esq.
Reg. No. 39,409

Docket Clerk
P.O. Box 802432
Dallas, Texas 75380
Phone: (972) 628-3600
Fax: (972) 628-3616
E-mail: dvenglarik@davismunck.com



DOCKET NO. 01-P-00013
CLIENT/MATTER NO. STMI01-00013
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MAIL STOP APPEAL BRIEF - PATENTS

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P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. These references were located in a European Search Report issued in the related European Patent Application No. EP 02253628.8, a copy of which is attached hereto. Copies of the listed references (except for those previously cited) are submitted herewith.

U.S. Patents

PATENT NO.

5,618,381

INVENTOR

Doan et al.

DATE

April 8, 1997

| <u>PATENT NO.</u> | <u>INVENTOR</u> | <u>DATE</u> |
|-------------------|-----------------|-------------------|
| 6,054,383 | Suzuki et al. | April 25, 2000 |
| 6,096,632 | Drynan | August 1, 2000 |
| 6,169,028 | Wang et al. | January 2, 2001 |
| 6,492,260 | Kim et al. | December 10, 2002 |

Publications

DATABASE WPI, Section Ch. Week 200116, Derwent Publications Ltd., London, GB; Class L03, An 2001-156149, XP 002260086 & KR 2000 037 768A (SAMSUNG ELECTRONICS CO. LTD), 5 July 2000 (2000-07-05), abstract.

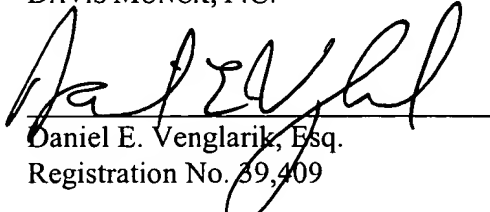
Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

In accordance with 37 C.F.R. 1.97(e), the undersigned certifies that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Further, pursuant to 37 C.F.R. § 1.97(e) and the above statements, no fee is believed to be due for the filing of this Information Disclosure Statement.

Respectfully submitted,

DAVIS MUNCK, P.C.


Daniel E. Venglarik, Esq.
Registration No. 39,409

Date: 1-15-04
P.O. Box 802432
Dallas, Texas 75380
Phone: (972) 628-3600
Fax: (972) 628-3616
email: dvenglarik@davismunck.com

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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|-------|---|----|---|
| Sheet | 1 | of | 2 |
|-------|---|----|---|

Complete if Known

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|------------------------|-------------------------|
| Application Number | 09/871,463 |
| Filing Date | May 31, 2001 |
| First Named Inventor | Charles R. Spinner, III |
| Art Unit | 2815 |
| Examiner Name | M.E. Warren |
| Attorney Docket Number | 01-P-002 (STMI01-00013) |

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

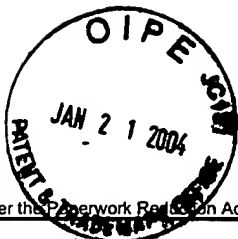
[illegible]

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| Examiner Signature | | Date Considered | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT**

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|------------------------|-------------------------|
| Application Number | 09/871,463 |
| Filing Date | May 31, 2001 |
| First Named Inventor | Charles R. Spinner, III |
| Art Unit | 2815 |
| Examiner Name | M.E. Warren |
| Attorney Docket Number | 01-P-002 (STMI01-00013) |

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| | BA | DATABASE WPI, Section Ch. Week 200116, Derwent Publications Ltd., London, GB; Class L03, An 2001-156149, XP 002260086 & KR 2000 037 768A (SAMSUNG ELECTRONICS CO. LTD), 5 July 2000 (2000-07-05), abstract. | |
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